

FORM PTO-1390  
REV. 5-93

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
**P01,0178**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/830239**

INTERNATIONAL APPLICATION NO.  
**PCT/SE99/01893**

INTERNATIONAL FILING DATE  
**21 October 1999**

PRIORITY DATE CLAIMED  
**27 October 1998**

TITLE OF INVENTION

**A HOUSING, WITH A TUBULAR CONNECTOR, FOR A FOR A HEART STIMULATOR**

APPLICANT(S) FOR DO/EO/US

**PAUL BRAND and ROLF HILL**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)) -
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (Separate envelope)
13. ☐ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification, including red-lined version
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ Submission of drawings
  - b. Request for Approval of Drawing Changes
  - c. ☒ Express Mail Label EJ 077704765US

U.S. APPLICATION NO. (if known, see 3.1.5) <div style="font-size: 2em; font-weight: bold;">09/830237</div>		INTERNATIONAL APPLICATION NO. PCT/SE99/01893		ATTORNEY'S DOCKET NUMBER P01,0178	
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00  No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00  Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1000.00  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00  <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	23 - 20 =	3	X \$18.00	\$			
Independent Claims	2 - 3 =		X \$ 80.00	\$			
Multiple Dependent Claims			\$270.00 +	\$270.00			
TOTAL OF ABOVE CALCULATIONS =				\$1270.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$			
SUBTOTAL =				\$1270.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				1270.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+			
TOTAL FEES ENCLOSED =				\$1270.00			
				Amount to be refunded	\$		
				charged	\$		

a. ☒ A check in the amount of \$1270.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
 Patent Department  
 6600 Sears Tower  
 Chicago, Illinois 60606  
 Customer No. 26574

SIGNATURE  


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 Steven H. Noll  
 NAME  


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 28,982 (Registration No.)

09/830239

Rec'd PCT/PTO 2 4 APR 2001

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**"Expresss Mail" Mailing label Number** EJ 077704765US

**Date of Deposit:** April 24, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office To Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT

Hon. Assistant Commissioner for Patents  
Washington DC 20231

**Case Number:** P01,0178

**International Application No.** PCT/SE99/01893

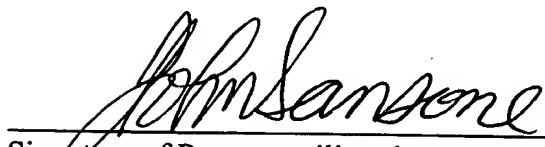
**International Filing Date:** OCTOBER 21, 1999

**Title:** A HOUSING, WITH A TUBULAR CONNECTOR, FOR A HEART STIMULATOR

**Applicant:** PAUL BRAND ET AL

Enclosed are the following documents:

International Application as filed, drawings, attached;  
PTO 1390 in duplicate;  
Submission of Drawings  
IDS  
Government Filing Fee  
Postcard

  
Signature of Person mailing documents and fees

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BOX PCT  
IN THE UNITED STATES DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

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**SUBMISSION OF FORMAL DRAWINGS**

APPLICANT: Brand et al.  
ATTORNEY DOCKET NO. P01,0178  
INTERNATIONAL APPLICATION NO: PCT/SE99/01893  
INTERNATIONAL FILING DATE: October 21, 1999

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INVENTION: "A HOUSING, WITH A TUBULAR CONNECTOR, FOR  
A HEART STIMULATOR"

Assistant Commissioner for Patents,  
Washington, D.C. 20231

S I R:

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Applicants herewith submit two sheets (Figs. 1-5) of formal drawings  
for the above-referenced PCT application.

Submitted by,



(Reg. 28,982)

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SCHIFF, HARDIN &amp; WAITE

**CUSTOMER NO. 26574**

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